

**CAMERON COUNTY REGIONAL MOBILITY AUTHORITY  
BANK DEPOSITORY CONTRACT FOR CCRMA**

(956) 982-5414  
(956) 983-5099 Fax  
www.cameroncountyrma.org

1100 E. Monroe St.  
Brownsville, Texas 78520

To: Interested Financial Institutions

From: Pete Sepulveda, Jr.  
Coordinator

Date: April 2010

Re: Applications For Depository Contract For Cameron County Regional Mobility Authority

Attached is an application (Exhibit A) for depository of public funds for Cameron County Regional Mobility Authority (CCRMA), Texas. Said application should be completed in its entirety indicating services that will, or will not, be offered, and the fees to be charged for said services that are offered. Also included (Exhibit "B") is a sample contract to be used as a template toward the agreement of the final contract.

Bid Applications must be received in the Coordinator's office 1100 E. Monroe St., 2<sup>nd</sup> Floor; Brownsville, Texas 78521, no later than 10:00 A.M., Tuesday, May 25, 2010. Bids/ RFP's will be opened at the Cameron County Courthouse, 1100 East Monroe Street, Brownsville, Texas in the RMA Coordinator's office – 2<sup>nd</sup> Floor – Room # 256 at 10:01 a.m. on deadline date. Bidders are invited to attend.

The CCRMA Board of Directors reserves the right to reject in part or in whole any or all bid applications, waive minor technicalities, and award the bid application to the financial institution which best serves the interest of the CCRMA. Late bid applications will be returned to the Bidder unopened.

Bid Applications may be withdrawn at any time prior to the official opening. Alterations made before opening time must be initiated by bidder for authenticity.

**THE CCRMA EXPRESSLY REQUESTS THAT BIDDERS NOT DISCUSS THIS ENGAGEMENT OR THE BANK'S PLANS, EXPERIENCE OR CREDENTIALS WITH OTHER BANKS OR ANY MEMBER OF THE CCRMA BOARD OF DIRECTORS UNTIL REQUESTED BY THE CCRMA BOARD OF DIRECTORS TO MAKE A PERSONAL PRESENTATION.**

Ten copies of the bound and sealed bid applications are required, not to be opened until the final submission date and hour noted.

Bid Applications must be submitted on the attached bid application worksheet. By returning the bid application worksheet, the Bank certifies that:

1. It does not have any outstanding or unsatisfied financial obligation to the CCRMA and Cameron County.
2. All bid application submittals are to be firm for sixty (60) days.
3. Award of contracts will be executed by the CCRMA Board of Directors and will be confirmed by an award letter.
4. Any deviations from these terms and conditions must be stated as such when returning the bid application worksheet.
5. It has executed and submitted the attached non-collusion affidavit, which is attached hereto as Exhibit "C".
6. It has a check fraud protection system such as "Authorized Check Pay" or its equivalent.
7. It has submitted the Bank's Pricing Sheet, which is attached hereto as Exhibit "A".

It is the intent of the CCRMA to execute a contract with a bank desiring to be designated as the CCRMA Managing Bank. Returning the bid application worksheet, the Bank acknowledges that it understands the V.T.C.A. local Government Code, Chapter 116,000 through 116.155 that pertains to the managing and safekeeping of Regional Mobility Authority funds and will comply with those statutes.

**DURATION** - Contract duration will be for a two (2) year term (two one year extensions optional) .

**AWARDING CONTRACT** --CCRMA will award the managing bank contract based on the following criteria:

1. Bank's past and prospective financial condition.
2. Net rate of return on CCRMA funds.
3. Ability to meet service requirements.
4. Cost of services.

**SUBMITTING FINANCIAL STATEMENTS** –All banks wishing to be designated as a Managing Bank must state the amount of the Bank's paid-up capital stock and permanent surplus and must submit a statement showing the financial condition of the Bank on the date of the application.

**GOOD FAITH GUARANTEE** – Bank must submit with the bid application worksheet a certified cashier's check in the amount of **\$1000.00** payable to the CCRMA as a good faith. The check will be held by the CCRMA until the Managing Bank is selected and the securities have been pledged.

**AMOUNT TO BE PLEDGED** – The initial amount of securities to be pledged, at market value, against CCRMA funds shall be no less than 105% of CCRMA deposits in order to adequately collateralize the funds of CCRMA according to the laws of the State of Texas and shall continuously remain as such. The amount is subject to change as deposits fluctuate, with the approval of the Executive Director. Securities pledged must be held at the Federal Reserve Bank, Boston. If the Bank uses a letter of credit, then the letter of credit must be of the United States or its agencies and instrumentalities. **Further, upon selection of the depository, the CCRMA Board of Directors will set the required term for the letter of credit, which shall be acceptable to the CCRMA.** The securities held as collateral must be in compliance with the Revised Civil Statutes of Texas, as revised by the Local Government Code, Chapter 116.000 through 116.155, and as further described above.

**PAYMENT OF SERVICES** – Bank will specify fees required for services. Banking services not detailed on the bid application worksheet will be provided at no cost.

**INVESTMENTS MADE OUTSIDE OF MANAGING BANK** – CCRMA reserves the right to make external investments in accordance with the Laws of the State of Texas and the investment policy of CCRMA, attached hereto as Exhibit “D”.

**INTEREST AND INTEREST RATE** – Variable interest rate bids and fixed interest rate bids on accounts and certificates of deposit shall be quoted by the Bank. CCRMA reserves the right to select the rate most favorable to the agency at any time during the term of the contract, subject to banking laws.

**INTEREST BEARING ACCOUNTS**– CCRMA will have accounts established under these specifications for disbursing checks written on Cameron County RMA funds. Checks and transfers will be written from these accounts. Wire transfers, ACH transfers, internal bank transfers or deposits will be made from these accounts.

Should you have any questions concerning this application, please contact Pete Sepulveda, Jr. Executive Director , at 956-982-5414 or E-mail at [psepulveda@co.cameron.tx.us](mailto:psepulveda@co.cameron.tx.us).

Attach.

OFFICIAL BID FORM  
EXHIBIT A  
PROPOSAL FOR CCRMA  
TWO YEAR CONTRACT

Financial Institution

Ladies and Gentlemen:

Subject to the terms of the proposal for CCRMA Depository, the undersigned, a banking corporation, association or individual banker doing business in Texas, submits the following bid for the privilege of acting as Bank Depository for the CCRMA, for the period beginning June 2010, and ending June 2012, with the possibility of two one year extensions at the CCRMA's option.

1. Interest Rates to be Paid on Single Maturity Time Deposits

A. Deposits of \$100,000 or more:

Maturity	Interest Rate Bid
Basis for compounding interest:	
1-13 Days	_____ %
14-30 Days	_____ %
31-59 Days	_____ %
60-89 Days	_____ %
90-179 Days	_____ %
180-364 Days	_____ %
Over 1 Year	_____ %

B. Deposits of less than \$100,000

Basis for compounding interest:	
1-13 Days	_____ %
14-30 Days	_____ %
31-59 Days	_____ %
60-89 Days	_____ %
90-179 Days	_____ %
180-364 Days	_____ %
Over 1 Year	_____ %

Bank Depository Bid Specifications

2. Interest rates to be paid on interest bearing accounts and indicate any charges for writing checks and withdrawals/transfers.

	Minimum Balance	Maximum Balance	Interest Rate
Accounts	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
Charges	_____		
	_____		
	_____		
	_____		
	_____		

3. Interest Rates to be paid on U.S. Treasury Bills

A. U.S. Treasury Bills over \$10,000

<u>Maturity</u>	<u>Charge Rate</u>	<u>Going Market Interest Rates</u>	<u>Rate Bid</u>
1-13 Days	_____ %	_____ %	_____ %
14-29 Days	_____ %	_____ %	_____ %
30-59 Days	_____ %	_____ %	_____ %
60-89 Days	_____ %	_____ %	_____ %
90-179 Days	_____ %	_____ %	_____ %
180-365 Days	_____ %	_____ %	_____ %
Over 1 Year	_____ %	_____ %	_____ %

4. Pledge of Security

Against any and all deposits of CCRMA whether evidenced by savings account or checking account or certificate of deposits, the bank agrees to pledge U.S. government and/or municipal securities of the kind and value as is prescribed in Chapter 105 of the Local Government Code, Revised Civil Statutes of Texas; and such pledge shall be made and maintained in accordance with and subject to the provisions of said Chapter 105

Bank Depository Bid Specifications

5. Bank Services to be Provided without Charge

- A. Operating fund checking account service for as many accounts as may be required by the CCRMA with checks returned in numerical sequence.
- B. Payroll account service with checks returned in numerical sequence.
- C. Provide investment services, counseling and safekeeping service for securities owned by the CCRMA, if and when needed.
- D. Storage of paid bonds and interest coupons.
- E. Periodic cremation of paid bonds and interest coupons with certificate of cremation. Certification would identify each bond issue, bond numbers and interest coupons cremated.
- F. Provide bank money orders and cashier checks as required by the CCRMA.
- G. Supply voucher checks and payroll checks according to quantity, quality, design and specifications established by the CCRMA.
- H. Provide lock money bags as needed by the CCRMA.
- I. No charges made on stop-payment orders, wire transfers or when funds are swept from the interest bearing account to the operating account.
- J. No internal service fees made on checking and passbook savings accounts.
- K. Temporary overdrafts in individual accounts will occur occasionally without penalty or service charge to the CCRMA, as long as the aggregate funds in other accounts are in amount sufficient to meet the overdraft and bank's minimum compensating balance.
- L. List other services not mentioned above which you provide.

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6. Loans to the CCRMA

Describe bank's lending practice as it may pertain to any loans which may be made to the CCRMA.

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7. It is agreed that all provisions of this bid proposal, which the bank can legally perform, will apply to any and all other funds for which the CCRMA may become responsible. However, nothing in this proposal shall be construed to obligate the CCRMA to place all or any particular amount of funds with the bank selected.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2010

\_\_\_\_\_  
By: \_\_\_\_\_  
Title: \_\_\_\_\_

Note to Applicant Bank (Managing Bank): Attach your cashier's check in the amount of **\$1000.00** as a good faith guarantee.

COUNTY OF CAMERON §  
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DEPOSITORY CONTRACT

WHEREAS, \_\_\_\_\_ has been duly and properly designated by the CCRMA Board of Directors as a depository of the CCRMA'S funds, based upon \_\_\_\_\_ (hereinafter referred to as "DEPOSITORY BANK") bidding and agreeing to pay the CCRMA (hereinafter referred to as "COUNTY") interest on "time deposits" on a daily balance kept in by the COUNTY at the rate specified in Exhibit A, said interest to be paid monthly.

WHEREAS, \_\_\_\_\_ has agreed to place for safekeeping securities with the FEDERAL RESERVE BANK of DALLAS, TEXAS, for the purpose of securing and guaranteeing the faithful performance of all duties and obligations of \_\_\_\_\_ pursuant to applicable law and the terms of this agreement.

NOW, THEREFORE, \_\_\_\_\_, agrees to faithfully do and perform all the duties and obligations required upon it by law as such DEPOSITORY BANK and shall, upon presentation, pay all properly payable checks drawn upon it by the CCRMA to the extent that funds are on deposit to cover same and shall faithfully keep and account for, according to law, all funds belonging to the CCRMA which are deposited with it pursuant to the requirements of Section 116.051 of the Local Government Code (said funds to include State funds collected by the tax collector), and the DEPOSITORY BANK shall pay interest at the time and at the rate specified.

TERM

For the purposes of this agreement the term thereof shall commence June \_\_\_\_, 2010 and shall expire on June 2012. However, the DEPOSITORY BANK shall remain the CCRMA'S depository until such time that all of the CCRMA'S funds have been transferred to the new institution.

The DEPOSITORY BANK must keep sufficient securities, in order to collateralize the CCRMA'S funds at no less than 105% at market value of CCRMA funds held by the DEPOSITORY BANK at any time. The DEPOSITORY BANK further agrees that, at the expiration of the term for which it has been chosen as the CCRMA'S depository, it will turn over to its successor all funds, property, and other things of value, coming into its hands of DEPOSITORY BANK upon receipt of written instructions from the CCRMA, provided however that CCRMA agrees that DEPOSITORY BANK shall have the right to retain deposits in an amount sufficient to pay all outstanding checks. At such time, this agreement is to become null and void, and the securities set forth on Exhibit "B" shall be returned to the DEPOSITORY BANK.

#### OBLIGATIONS OF DEPOSITORY BANK

The DEPOSITORY BANK, in consideration of having been selected as depository of the CCRMA, and for the purpose of qualifying as such depository, hereby agrees to either obtain a letter of credit of the United States or its agencies and instrumentalities; or to pledge with the CCRMA an amount of United States Government Treasury Securities or investments at market value allowed under V.T.C.A., Government Code §2257.001 et seq. and amendments thereto; or to use a combination of both, in an amount not less than 105% of CCRMA deposits at all times in order to adequately collateralize the funds of CCRMA according to the laws of the State of Texas and shall continuously remain as such. If pledging United States Government Treasury securities, then they are to be held in safekeeping with the FEDERAL RESERVE BANK OF BOSTON, MASSACHUSETTS, as TRUSTEE for the benefit of the CCRMA, and in conformity with V.T.C.A. Local Government Code Section 116.001 et seq.

If DEPOSITORY BANK shall desire to sell or otherwise dispose of any one or more of securities so kept in safekeeping with TRUSTEE, it may substitute for any one or more of such securities other securities of the same market value and of the character authorized herein and by the

Public Funds Collateral Act. Such right of substitution shall remain in full force and may be exercised by DEPOSITORY BANK as often as it may desire; provided, however, that the aggregate market value of all collateral pledged hereunder, shall be at least equal to the amount of collateral required hereunder and by the Public Funds Collateral Act and other applicable law. If at any time the aggregate market value of such collateral so deposited with TRUSTEE be less than the total sum of CCRMA'S fund on deposit with said DEPOSITORY BANK, DEPOSITORY BANK shall immediately transfer for safekeeping with the TRUSTEE such additional collateral as may be necessary to cause the market value of such collateral to equal the total amount of collateral. DEPOSITORY BANK shall be entitled to income on securities held by TRUSTEE, unless there is insufficient collateral on deposit with the TRUSTEE to cover the public funds as required by law at the time the request is made. Approval of the CCRMA will be required in the event there is a collateral deficiency that is not seasonably cured and if the CCRMA'S consent is not given, the income becomes collateral for public funds to the extent of the collateral deficiency, and CCRMA is authorized to instruct TRUSTEE in writing not to deliver or credit said income to DEPOSITORY BANK.

It is the intention and desire of the parties to this agreement that the TRUSTEE will promptly forward to DEPOSITORY BANK copies of safekeeping or trust receipts covering all such collateral held as collateral for CCRMA'S funds and/or public funds including substitute collateral as provided for herein. DEPOSITORY BANK will forward a monthly report to COUNTY showing all of the securities held in safekeeping.

If at any time the collateral in the hands of the TRUSTEE shall have a market value in excess of the sum of balances due CCRMA by DEPOSITORY BANK, the CCRMA shall authorize the withdrawal of a specified amount of collateral in writing to the TRUSTEE upon written request by DEPOSITORY BANK.

Said securities herein pledged shall be held by said TRUSTEE until the removal of all CCRMA funds from \_\_\_\_\_ which has been appointed as DEPOSITORY BANK, and until its successor has been duly and legally appointed and qualified, or until this agreement has been renewed and extended by mutual consent between the DEPOSITORY BANK and the CCRMA but in no event later than fifteen (15) days after all of the County deposits have been removed, whereupon, if the DEPOSITORY BANK has complied in full with the above conditions, and is in no way in default, said TRUSTEE shall turn over to the DEPOSITORY BANK the above described securities.

DEPOSITORY BANK agrees to send a monthly account analysis and DEPOSITORY BANK'S quarterly call reports to the Executive Director Office. DEPOSITORY BANK further agrees to enter into an agreement whereby DEPOSITORY BANK agrees to provide the service of paying only CCRMA'S issued checks as described on information provided by the CCRMA. See Authorized Check Payment Agreement attached hereto as Exhibit "C" and incorporated by reference as if fully set forth herein.

#### TERMINATION

In the event the DEPOSITORY BANK defaults in its performance in any of the above provisions, and fails to cure such default within two (2) working days after receiving written notice of default from the CCRMA, the TRUSTEE shall deliver to the CCRMA, upon the request of the CCRMA, all of said securities, or such portion thereof as shall be required by the CCRMA to make good the full and complete performance of the above conditions or, at the option of said CCRMA, shall sell all or such portion of said securities as may be necessary to make good any deficiency in the performance of the above conditions by the DEPOSITORY BANK, and turn over the proceeds of said sale to the CCRMA. CCRMA agrees to refund the DEPOSITORY BANK the amount of said securities that were not used to make good the deficiency.

The above provisions are given in addition to any remedy that the CCRMA may have in any suit brought on this contract in any court of this State. Any suit arising out of or in any way connected with this contract shall be tried in the County of Cameron and State of Texas in any court, therein having jurisdiction of the subject matter of said suit.

#### COLLATERAL

DEPOSITORY BANK represents that the collateral pledged to CCRMA is not otherwise assigned, pledged or encumbered and that no lien, or security interests exist other than the security interest held by the CCRMA pursuant to this agreement. Possession of the collateral by the TRUSTEE is intended as perfection of CCRMA'S security interest herein. DEPOSITORY BANK agrees that the collateral (in the form and amount required by law) is held by TRUSTEE for the benefit of CCRMA and as security of CCRMA'S funds.

#### DEPOSITORY BANK'S PROCESSING WARRANTY

DEPOSITORY BANK warrants that all of its hardware, software, and firmware shall be able to accurately process date data. DEPOSITORY BANK further agrees to indemnify and hold CCRMA, its officials, officers, agents and employees, harmless for any and all losses, claims, lawsuits, legal expenses and other costs related to DEPOSITORY BANK'S hardware, software, and firmware.

#### NO ORAL AGREEMENTS

THIS WRITTEN DEPOSITORY CONTRACT REPRESENTS THE FINAL AGREEMENT BETWEEN THE PARTIES AND MAY NOT BE CONTRADICTED BY EVIDENCE OF PRIOR, CONTEMPORANEOUS, OR SUBSEQUENT ORAL AGREEMENTS OF THE PARTIES. THERE ARE NO UNWRITTEN ORAL AGREEMENTS BETWEEN THE PARTIES.

#### SUBSEQUENT AGREEMENTS

Any subsequent agreements between CCRMA and DEPOSITORY BANK shall be subject to this Depository Agreement. If any terms/clauses of other agreements conflict with terms/clauses of

this Depository Agreement, then this Depository Agreement shall take precedence over the other agreement. Any additional term(s) or issue(s) in subsequent agreements shall be incorporated into this Depository Agreement by addendum approved by the CCRMA.

EXECUTED this \_\_ day of \_\_\_\_\_, 2010, by the undersigned duly authorized officers of the parties hereto.

FOR DEPOSITORY BANK:

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

FOR CCRMA:

\_\_\_\_\_  
(Signature)

David E. Allex  
Chairman

TRUSTEE:

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

The within Depository Agreement showing approval by the CCRMA Board of Directors was filed for record on the \_\_\_\_\_ day of \_\_\_\_\_, 2010, and duly recorded in Book \_\_\_\_\_, Page \_\_\_\_\_ Bank Record of Cameron County, Texas.

Witness my hand and seal of office, this the \_\_\_\_\_ day of \_\_\_\_\_, 2010.

\_\_\_\_\_

Ruben Gallegos, Jr.

The within Depository Agreement having been approved as evidenced by Board of Directors resolution attached as exhibit one, which authorizes \_\_\_\_\_ to execute this agreement as authorized agent for DEPOSITORY BANK.

\_\_\_\_\_  
President of

ACKNOWLEDGEMENT

STATE OF TEXAS

COUNTY OF CAMERON

BEFORE ME, on this day personally appeared \_\_\_\_\_, (title with Bank), known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same as the act and deed of the \_\_\_\_\_, a corporation, for the purpose and consideration therein expressed, and in the capacity therein stated.

GIVEN UNDER MY HAND AND SEAL OF OFFICE, this the \_\_ day of \_\_\_\_\_, 2010.

\_\_\_\_\_  
Notary Public in and for the State of Texas  
Printed Name: \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

ACKNOWLEDGEMENT

STATE OF TEXAS

COUNTY OF CAMERON

BEFORE ME, the undersigned authority, on this day personally appeared David E. Alex, Chairman of Cameron County Regional Mobility Authority, Texas, known to me to be the person whose name is subscribed in the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND AND SEAL OF OFFICE, this the \_\_ day of \_\_\_\_\_, 2010.

\_\_\_\_\_  
Notary Public in and for the State of Texas  
Printed Name: \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_

ACKNOWLEDGEMENT

STATE OF TEXAS

COUNTY OF CAMERON

BEFORE ME, on this day personally appeared \_\_\_\_\_,  
Banking Officer of \_\_\_\_\_, known to me to be the  
person whose name is subscribed to the foregoing instrument, and acknowledged to me that he  
executed the same as the act and deed of the \_\_\_\_\_, a  
corporation, for the purpose and consideration therein expressed, and in the capacity therein stated.

GIVEN UNDER MY HAND AND SEAL OF OFFICE, this the \_\_ day of \_\_\_\_\_, 2010.

\_\_\_\_\_  
Notary Public in and for the State of Texas

Printed Name: \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

THE STATE OF TEXAS       §  
  §  
COUNTY OF CAMERON       §

**AFFIDAVIT**

The undersigned certifies that the bid prices contained in this bid have been carefully checked and are submitted as correct and final and if bid is accepted (within 60 days), agrees to furnish and and/or all items upon which prices are offered, at the price(s) and upon the conditions contained in the specifications.

BEFORE ME, the undersigned authority, A Notary Public in and for the State of \_\_\_\_\_, on this day personally appeared

\_\_\_\_\_  
who, after having first been duly sworn, upon oath did depose and say;  
That the foregoing bid submitted by \_\_\_\_\_

\_\_\_\_\_  
hereinafter called "Bidder" is the duly authorized agent of said company and that the person signing said proposal has been duly authorized to execute the same. Bidder affirms that they are duly authorized to execute this contract, that this company, corporation, firm, partnership or individual has not prepared this bid in collusion with any other Bidder. The bidder is not a member of any trust, pool, or combination to control the price of products or services bid on, or to influence any person to bid or not to bid thereon. I further affirm that the bidder has not given, offered to give, nor intends to give, at any time hereafter, any economic opportunity, future employment, gift, loan, gratuity, special discounts, trip, favor, or service to a public servant in connection with the submitted Bid. The contents of this bid as to prices, terms or conditions of said bid have not been communicated by the undersigned nor by any employee or agent to any other person engaged in this type of business prior to the official opening of this bid.

Name and Address of Bidder:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Telephone number \_\_\_\_\_

Fax Number \_\_\_\_\_

\_\_\_\_\_  
Signature  
Name: \_\_\_\_\_  
  
Title: \_\_\_\_\_

SWORN TO AND SUBSCRIBE BEFORE ME THIS \_\_\_\_\_ day of \_\_\_\_\_,  
20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for County State

# CAMERON COUNTY REGIONAL MOBILITY AUTHORITY

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EXHIBIT 1

CERTIFICATION

## CERTIFICATION

In accordance with Texas Government Code 2256.005(k), I certify that I have received and have thoroughly reviewed and read the investment policies, strategies and objectives of Cameron County Regional Mobility Authority, Texas as well as Chapter 2256, Texas Government Code, and agree to abide by said laws and policies. I further certify that my organization has implemented reasonable procedures and controls designed to preclude imprudent investment activities arising out of transactions conducted between our organization and Cameron County RMA. We will notify you immediately by telephone and in writing in the event of a material adverse change in our financial condition. We pledge to exercise due diligence in informing you of all foreseeable risks associated with financial transactions conducted with our firm.

FIRM: \_\_\_\_\_

REGISTERED PRINCIPAL: \_\_\_\_\_

NAME: \_\_\_\_\_

TITLE: \_\_\_\_\_

REGISTRATION OR DEALER NUMBER: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

APPENDIX A

GOVERNMENT CODE. TITLE 10, CHAPTER 2256  
PUBLIC FUNDS INVESTMENT ACT

APPENDIX B

GOVERNMENT CODE. TITLE 10, CHAPTER 2257  
PUBLIC FUNDS COLLATERAL ACT